

 <div style="text-align: center;"> <b>Financial Assistance Award</b>   <b>DENALI COMMISSION</b>          510 L Street, Suite 410          Anchorage, Alaska 99501          (907) 271-1414 (phone)          (907) 271-1415 (fax)          www.denali.gov       </div>		<b>Award Number</b>	01535-00		
		<b>Award Title</b>	Renovation of Village Health Clinic Foundations		
		<b>Performance Period</b>	October 20, 2017 through December 31, 2018		
<b>Authority</b> 112 Stat 1854	<b>CFDA Number</b> 90.100	<b><u>Recipient Organization &amp; Address</u></b> Yukon Kuskokwim Health Corporation PO BOX 528 Bethel, AK 99559-0528  <b>Phone:</b> [NoPhoneRecord] <b>Recipient DUNS #</b> 082508961 <b>TIN #</b> 920041414			
<b>Denali Commission Finance Officer Certification</b>					
<b><u>Cost Share Distribution Table</u></b>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$200,000.00		\$0.00		\$200,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
YKHC		\$118,738.00		\$0.00	\$118,738.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
<b>Total</b>	<b>\$200,000.00</b>	<b>\$118,738.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$318,738.00</b>
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed		<b>Typed Name and Title</b> Mr. Jay Farmwald Director of Programs		<b>Date</b> 11/27/2017	

# AWARD ATTACHMENTS

Yukon Kuskokwim Health Corporation

01535-00

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1. Terms and Conditions
  2. Attachment A

**Financial Assistance Award Terms and Conditions  
Between the Denali Commission and Yukon-Kuskokwim Health Corporation  
for Renovation of Village Health Clinic Foundations  
Award No. 1535  
18 October 2017**

**1. Project Summary**

- a. Scope of Work: Install adjustable piling systems on seven village health clinic foundations.
- b. Deliverables:
  - Completed adjustable pile foundations and local training
- c. Budget: The Commission is making \$ 200,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. Other partners on the project include the Yukon Kuskokwim Health Corporation (YKHC), who in total is contributing an additional \$118,738 in labor, materials, and in-kind contributions. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: Construction will be completed by force account utilizing local labor managed by the YKHC Capital Projects Division.
- e. Performance Period: The Period of Performance for this FAA is 20 October 2017 through 31 December 2018. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at [www.denali.gov](http://www.denali.gov).

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, schedule and key milestones are included in Attachment "A" dated 18 October 2017.

**2. Project Reporting and Commission Site Visits**

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at [www.denali.gov/dcpdb](http://www.denali.gov/dcpdb).

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

### **3. Payments**

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. At a minimum, all 270's must include summary cost information on labor, materials, contracts/consultants, and indirect costs. Detailed documentation is required for any single expenditure greater than \$50,000. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

### **4. Modifications**

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions, and request prior approvals from the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

### **5. Financial Management, Record Keeping, Internal Controls, and Audits**

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

## **6. Direct and Indirect Costs**

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

## **7. Sub-Awards and Contracts**

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **8. Acknowledgement of Support**

The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to the work supported by this FAA. If Commission funds are used for construction, the Recipient shall also display a sign at the construction site that acknowledges the Commission's support. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **9. Real and Personal Property**

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

## **10. Conflict of Interest**

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **11. Denali Commission Policies**

Recipients may be required to comply with certain published Denali Commission policies which can be found in the *Recipient Guidelines and Requirements* document. Applicable policies are referenced in the Special Provisions of this FAA, and specific requirements/deliverables (if any) are stipulated in Appendix A.

## **12. Laws and Regulations**

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

## **13. Other Provisions**

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

## **14. Special Provisions**

Progress Reports: Shall be submitted on a quarterly basis. The first reporting period is 20 October 2017 to 31 December 2017, and quarterly thereafter in accordance with the Commission's *Recipient Guidelines and Requirements*.

Pre-award Costs: N/A

Key Staff:

- Kris Manke, YKHC, Director of Construction
- Deanna Latham, Vice President, Support Services
- Brian Lefferts, Director, Office of Environmental Health & Engineering

Advance Payments: N/A

Federal Property Interests and Reporting Requirements: N/A

Denali Commission Policies: N/A

Bonds and Insurance: The Denali Commission shall be named as an Additional Insured on YKHC's Liability Insurance Policy, as well as on the Liability Insurance Policy of any professional services consultants that may be retained to construct the project.

#### 15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	YKHC
Don Antrobus Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3500 Fax: 907-271-1415 E-mail: dantrobus@denali.gov	Kris Manke Director of Construction Yukon-Kuskokwim Health Corp. P.O. Box 2265 Bethel, AK 99559 Phone: 907-543-6054 Email: lennard_manke@ykhc.org
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Sherry Smith Director of Finance Operations Yukon-Kuskokwim Health Corp. P.O. Box 528 Bethel, AK 99559 Phone: 907-543-6942 Email: sherry_smith@ykhc.org

***Financial Assistance Award 1535***  
**Between the Denali Commission and Yukon-Kuskokwim Health Corporation**  
**for Renovation of Village Health Clinic Foundations**  
***Attachment “A”***  
***18 October 2017***

**Scope of Work**

*Background:* In the Yukon-Kuskokwim Delta region of southwest Alaska, ice-rich permafrost is widespread. Climate projections indicate that the region is poised for widespread loss of permafrost through the remainder of this century. Foundation movement, resulting from the degradation of ice-rich permafrost, is a common threat to the foundation systems of critical infrastructure.

The Yukon Kuskokwim Health Corporation (YKHC) has gathered years of survey data on village clinics documenting damage to foundation systems due to permafrost degradation. This project will renovate the foundation systems for seven regional village health clinics with failing pile foundation systems. The seven clinics selected for this project are currently experiencing non-functional doors and windows, wall to ceiling separations, and ripping wall finishes.

YKHC has tested several methods for leveling clinic foundations and identified a cost-effective solution consisting of the retrofit of existing piles via the insertion of an adjustable pile section. The adjustable pile systems are expected to extend the life of the foundations by 18 years or more, which is consistent with the expected useful life of the clinic buildings.

This project will include the following tasks:

*Adjustable Foundation Systems:* Install adjustable foundation systems for village clinics in the 7 communities listed below.

- Eek
- Kasigluk
- Kwethluk
- Marshall
- Nunam Iqua
- Nunapitchuk
- Tununak

*Training:* Provide training for local maintenance personnel on the maintenance and adjustment of the new pile systems. This shall be accomplished by directly involving maintenance personnel in the initial leveling activities included in proposed construction effort.



## **Deliverables**

- Completed retrofit of 7 village clinic foundations
- Trained local maintenance workers in 7 villages

## **Budget**

The budget for the proposed work is \$318,738. Of this, the Denali Commission (Commission) is making available \$200,000. The balance of \$118,738 is being provided by YKHC and includes cash for labor, contingency (if needed), and an in-kind contribution consisting of donated indirect costs. These contributions, excluding the contingency, constitute a match equal to 27% of the total project budget. Additional information regarding budget and funding is included in the table on page 3.

## **Delivery Method**

YKHC will complete the construction utilizing local force-account labor supervised by a YKHC Superintendent.

The proposed work is consistent with categorical exclusion B1 in the Denali Commission's NEPA regulations published 11 August 2016 and is excluded from further analysis and documentation in an Environmental Assessment upon completion of the Commission's CATEX checklist. YKHC shall complete a draft checklist for review and execution by the Commission.

## **Schedule**

Key project milestones are as delineated below.

- |                                     |                  |
|-------------------------------------|------------------|
| • CATEX Checklist                   | 31 December 2017 |
| • Install Adjustable Piling Systems | 30 November 2018 |
| • Maintenance Worker Training       | 30 November 2018 |
| • Award Closeout                    | 31 December 2018 |

BUDGET AND FUNDING <sup>1</sup>					
Line	Item/Activity <sup>2</sup>	Total Budget	Funding		Basis / Notes
			Denali Commission	YKHC	
1	Foundation Renovations				
2	YKHC Labor				
3	Project Manager	\$4,200	\$4,200	\$0	10 manhours/site @ \$60/hour x 7 sites
4	Superintendent	\$55,785	\$30,785	\$25,000	108 manhours/clinic @ \$73.79/hour x 7 clinics
5	Administrative Support	\$1,575	\$1,575	\$0	5 manhours/site @ \$45/hour x 7 sites
6	Subtotal YKHC Labor	\$61,560	\$36,560	\$25,000	
7	Village Labor				
8	Site Preparation	\$3,916	\$3,916	\$0	10 manhours/site @ \$55.94 x 7 sites
9	Welding and Pile Cutting	\$7,832	\$7,832	\$0	20 manhours/site @ \$55.94 x 7 sites
10	Install Adjustable Pile Section	\$23,495	\$23,495	\$0	60 manhours/site @ \$55.94 x 7 sites
11	Initial Building Leveling	\$5,874	\$5,874	\$0	15 manhours/site @ \$55.94 x 7 sites
12	Site Cleanup	\$3,916	\$3,916	\$0	10 manhours/site @ \$55.94 x 7 sites
13	Subtotal Village Labor	\$45,033	\$45,033	\$0	
14	Materials				
15	Adjustable Pile Steel and Fasteners	\$43,610	\$43,610	\$0	\$6230 per site x 7 sites
16	Fuel	\$3,850	\$3,850	\$0	100 gallons/site @ \$5.5/gallon x 7 sites
17	Small Tools	\$2,100	\$2,100	\$0	Lump sum estimate of \$300/site x 7 sites
18	Subtotal Materials	\$49,560	\$49,560	\$0	
19	Travel, Per Diem, and Freight				
20	Airfare (Mobilization and Demobilization)	\$13,300	\$13,300	\$0	1 round trip/site @ \$950 x 7 sites
21	Per Diem	\$2,800	\$2,800	\$0	5 days/site @ \$80/day x 7 sites
22	Freight to Bethel	\$3,000	\$3,000	\$0	Lump sum estimate of \$3000
23	Freight to Villages	\$49,000	\$49,000	\$0	Lump sum estimate of \$7,000/site x 7 sites
24	Subtotal	\$68,100	\$68,100	\$0	
25	Summary of Construction Costs				
26	Subtotal Construction Costs	\$224,253	\$199,253	\$25,000	Subtotal of lines 6, 13, 18, and 24
27	Contingency	\$33,638	\$0	\$33,638	15% of estimated construction costs
28	Total Construction Costs	\$257,891	\$199,253	\$58,638	
29	Indirect Costs <sup>3</sup>				
30	Indirect Costs	\$60,100	\$0	\$60,100	26.8% of line 26
31	Project Totals				
32	Subtotal All Costs	\$317,991	\$199,253	\$118,738	
33	Funding				
34	Denali Commission Award (Rounded)		\$200,000		
35	Recipient Contributions <sup>4</sup>			\$118,738	Contribution, less contingency, is 30% of total project direct and indirect costs (see note 4)
36	Total		\$318,738		

**Notes**

- Budget estimates based on data provided in the YKHC Grant Proposal dated 6 July 2017.
- All work will be performed via force account utilizing local labor.
- Even though YKHC has a negotiated indirect cost rate of 26.8% from the Department of Health and Human Services, YKHC will not charge the Commission any indirect costs under this FAA. YKHC indirect costs will be considered an in-kind contribution in accordance with the Grant Proposal dated 6 July 2017.
- Contribution percentage calculation excludes contingency line item, since contingency may not be required. Match funds are not required for this FAA because the project cost per village is less than \$100,000 (reference Commission policy dated 9 December 2016).